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**Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations**

**Financing of the United Nations Stabilization Mission in Haiti**

# Financial performance report for the period from 1 July 2005 to 30 June 2006 and proposed budget for the period from 1 July 2007 to 30 June 2008 of the United Nations Stabilization Mission in Haiti

## Report of the Advisory Committee on Administrative and Budgetary Questions

| | |
|---|---|
| Appropriation for 2005/06 | $516,488,500 |
| Expenditure for 2005/06 | $479,636,600 |
| Unencumbered balance for 2005/06 | $36,851,900 |
| Appropriation for 2006/07 | $489,207,100 |
| Projected expenditure for 2006/07[a] | $458,810,000 |
| Estimated unencumbered balance for 2006/07[a] | $30,397,100 |
| Proposal submitted by the Secretary-General for 2007/08 | $537,664,300 |
| Recommendation of the Advisory Committee for 2007/08 | $535,372,800 |

[a] Estimate as at 30 April 2007 (see annex I).

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# I. Introduction

1. **The recommendations of the Advisory Committee on Administrative and Budgetary Questions in paragraphs 24 and 45 below would entail a reduction of $2,291,500 in the proposed budget for the United Nations Stabilization Mission in Haiti (MINUSTAH) for the period from 1 July 2007 to 30 June 2008. The Advisory Committee makes a number of observations and recommendations with regard to the administration and management of the Mission and opportunities for further savings.**

2. The Advisory Committee's general report on the administrative and budgetary aspects of peacekeeping operations (A/61/852) contains its views and recommendations on a number of cross-cutting issues. In the present report, the Committee deals with resources and other items that relate specifically to MINUSTAH.

3. The documents used by the Advisory Committee in its consideration of the financing of MINUSTAH are listed at the end of the present report.

# II. Financial performance report for the period from 1 July 2005 to 30 June 2006

4. The General Assembly, by its resolution 60/18 A, appropriated the amount of $516,488,500 gross ($506,253,200 net) for the maintenance of the Mission for the period from 1 July 2005 to 30 June 2006. The total amount has been assessed on Member States.

5. Expenditures for the maintenance of the Mission for the period totalled $479,636,600 gross ($470,971,800 net); the resulting unencumbered balance of $36,851,900 represents, in gross terms, 7.1 per cent of the total appropriation.

6. The Mission's unutilized balance of $36,851,900 is the net effect of savings and additional requirements under various line items. An analysis of the variances is incorporated in paragraphs 10 to 25 of the performance report. Savings were attributable primarily to:

(a) Lower average strength of military contingents (6,839 military personnel, compared to 7,350 budgeted) due to the early repatriation of some contingents, lower payments for contingent-owned equipment and favourable exchange rates resulting in lower ration costs ($5.20 per day per person, compared to $6.50 budgeted, and $1.80 for bottled water, compared to $2.00 budgeted). This resulted in an unutilized balance of $24.5 million;

(b) Lower average strength of United Nations police (694 police personnel, compared to 852 budgeted), resulting in an unutilized balance of $6.7 million;

(c) Lower freight and deployment costs of contingent-owned equipment, lower reimbursements for major equipment and lower ration costs for formed police units, resulting in an unutilized balance of $2.9 million;

(d) Higher actual vacancy rates of 75 per cent for National Officers and 12 per cent for national General Service staff, as compared to 5 per cent budgeted for both categories;

(e) Delayed recruitment of both international and national staff.

7. Additional requirements resulting in overexpenditure under different budget lines were attributable primarily to:

(a) An increase in the living allowance for United Nations Volunteers ($2,542, as compared to $2,414 budgeted) and a lower vacancy rate (13 per cent, as compared to 25 per cent budgeted);

(b) The employment of seven international consultants in connection with elections;

(c) An increased level of within-Mission travel;

(d) The payment of outstanding freight charges for consignments of prefabricated facilities related to the previous financial period;

(e) The acquisition of water purification equipment, firefighting equipment and photocopiers;

(f) The unplanned acquisition of three armoured vehicles;

(g) Communications and information technology equipment;

(h) Medical supplies.

8. The comments of the Advisory Committee on the information contained in the performance report on individual objects of expenditure can be found, where relevant, in the discussion of the proposed budget for the period from 1 July 2007 to 30 June 2008 in the paragraphs below.

9. The Advisory Committee notes that in its resolution 61/264, the General Assembly requested the Secretary-General to submit a detailed report on after-service health insurance at its sixty-third session. **Pending a decision by the Assembly, the Committee recommends that the full amount of other income and adjustments, amounting to $14,506,000, as well as a portion of the unencumbered balance, amounting to $3,376,400, for MINUSTAH for the period 2005/06, which had been proposed for meeting after-service health insurance liabilities, be credited to Member States.**

## III. Information on performance for the current period

10. The Advisory Committee was informed that as at 31 December 2006, a total of $1,034,152,000 had been assessed on Member States in respect of MINUSTAH since its inception. Payments received amounted to $881,669,000, leaving an outstanding balance of $152,483,000. As at 2 April 2007, the cash position of the Mission was $174,900,000. As a three-month operating reserve amounts to $76,715,000 (excluding reimbursements for troop-contributing countries), the remaining cash balance of $98,185,000 is sufficient to allow further reimbursement to troop-contributing countries in May 2007.

11. The Advisory Committee was informed that as at 31 October 2006, payments made to Member States in respect of troop-cost reimbursement since the inception of the Mission amounted to $198,449,500, covering the period up to 31 August 2006, and that $34,658,000 was owed to Member States for troop costs as at 31 December 2006. A further payment in the amount of $52,312,425, covering the period from September 2006 to February 2007, would be made in May 2007. With regard to contingent-owed equipment, payments made to Member States since the inception of the Mission amounted to $111,511,200, and $32,946,000 was owed to Member States as at 31 December 2006. Claims for contingent-owned equipment have been verified up to September 2006.

12. An amount of $748,800 had been paid for 18 claims relating to death and disability compensation since the Mission's inception as at 15 May 2007. Unliquidated obligations amounted to $2,303,200, and 21 claims remained outstanding. **The Advisory Committee expects the expeditious settlement of these claims.**

13. The Advisory Committee was informed that as at 30 April 2007, the incumbency situation for the 2006/07 budget period was as follows:

| *Category* | *Posts authorized*[a] | *Posts encumbered* | *Vacant posts* | *Vacancy/delayed deployment rate (percentage)* |
|---|---|---|---|---|
| Military contingents | 7 500 | 7 036 | 464 | 6.2 |
| United Nations police | 897 | 807 | 90 | 10.0 |
| Formed police units | 1 000 | 996 | 4 | 0.4 |
| International staff[b] | 509 | 458 | 51 | 10.0 |
| National staff[b] | 1 072 | 891 | 181 | 16.9 |
| United Nations Volunteers[b] | 189 | 182 | 7 | 3.7 |

[a] Represents the highest authorized strength for the period.
[b] Includes temporary positions.

14. The Advisory Committee was provided with current and projected expenditure data for the period from 1 July 2006 to 30 June 2007 (see annex I). Expenditures as at 30 April 2007 amounted to $408,568,400 gross ($402,015,400 net), against an apportionment of $489,207,100 gross ($479,808,400 net). Taking into account the estimated expenditure of $50,241,600 for the period from 1 May to 30 June 2006, the total expenditure for the period 2006/07 is estimated at $458,810,000 gross ($451,073,800 net), leaving a projected unencumbered balance at the end of the 2006/07 budget period of $30,397,100.

15. **Notwithstanding the explanations given in respect of the current and projected expenditure data, the Advisory Committee reiterates its recommendation that the Mission and Headquarters should undertake closer scrutiny of expenditure patterns to refine budgetary assumptions in order to obviate the possibility of overbudgeting (see A/60/869, para. 18).**

# IV. Proposed budget for the period from 1 July 2007 to 30 June 2008

## A. Mandate and planned results

16. The mandate of MINUSTAH was established by the Security Council in its resolution 1542 (2004). In its resolution 1702 (2006), the Council authorized the reduction of military strength from 7,500 to 7,200 and an increase in police strength from 1,897 to 1,951. In addition, the Council authorized the deployment of 16 corrections officers seconded from Member States in support of the Government of Haiti to address the shortcomings of the prison system. By its resolution 1743 (2007), the Council extended the mandate of MINUSTAH until 15 October 2007 with the intention of renewing it for further periods. In the same resolution, the Council requested MINUSTAH to accelerate efforts to reorient its disarmament, demobilization and reintegration resources towards a comprehensive community violence reduction programme, as decided in resolution 1702 (2006), in close coordination with the Government of Haiti and other relevant actors. Furthermore, it requested MINUSTAH to provide necessary support for the Haitian authorities' effort to reform the key elements of the justice and corrections sectors.

## B. Resource requirements

17. The proposed budget for MINUSTAH amounts to $537,664,300 gross ($525,364,900 net), representing an increase of $48,457,200, or 9.9 per cent, in gross terms over the amount of $489,207,100 apportioned for the period 2006/07. The budget provides for the deployment of 7,200 military contingents, 1,951 United Nations police officers, including 1,000 in formed police units, 16 Government-provided personnel, 546 international staff, 1,268 national staff, 228 United Nations Volunteers and 69 temporary positions.

### 1. Military and civilian police personnel

| *Category* | *Approved 2006/07*[a] | *Proposed 2007/08* | *Variance* |
|---|---|---|---|
| Military contingents | 7 500 | 7 200 | (300) |
| United Nations police | 897 | 951 | 54 |
| Formed police units | 1 000 | 1 000 | — |

[a] Represents the highest level of authorized posts.

18. The estimated requirements for military and police personnel for the period from 1 July 2007 to 30 June 2008 amount to $255,444,800, reflecting a reduction of $10,571,400 (4 per cent) compared to the apportionment for 2006/07. The reduced requirements are due mainly to a reduction in military personnel and a reduction in the cost of emplacement, rotation and repatriation travel, offset in part by increased requirements for police personnel.

## 2. Civilian personnel

| *Category* | *Approved 2006/07* | *Encumbered 2006/07 as at 30 April 2007* | *Vacancies as at 30 April 2007* | *Proposed 2007/08* | *Increase compared to 2006/07* |
|---|---|---|---|---|---|
| International staff | 488[a] | 438 | 50 | 525[b] | 37 |
| National staff[c] | 1 041[a] | 869 | 172 | 1 237[b] | 196 |
| United Nations Volunteers | 172[a] | 165 | 7 | 211[b] | 39 |

[a] Does not include positions funded under general temporary assistance (21 international, 31 national and 17 United Nations Volunteer positions) for 2006/07.

[b] Does not include positions funded under general temporary assistance (21 international, 31 national and 17 United Nations Volunteer positions) for 2007/08.

[c] Includes National Officers and national General Service personnel.

### General comments

19. The proposed budget for civilian personnel for the period 2007/08 amounts to $118,543,900, reflecting an increase of $18,555,300, or 18.6 per cent, over the previous period. The proposal for 2007/08 entails an increase of 37 international posts, 196 national posts and 39 United Nations Volunteers. The budget projection reflects the application of a 10 per cent delayed deployment factor for international staff and vacancy rates of 25 per cent for National Officers and 5 per cent for national General Service staff. A 5 per cent delayed deployment or vacancy factor has been applied for United Nations Volunteers.

20. **The Advisory Committee is of the opinion that the resources and capacities already available in the Mission should be evaluated carefully and that consideration should be given to the possibility of redeploying existing staff to cover any new functions.**

21. The Advisory Committee notes the efforts made by the Mission to transfer substantive functions from international staff and United Nations Volunteers to national staff in the Civil Affairs Section, the Electoral Assistance Section and the Disarmament, Demobilization and Reintegration Section. **The Committee is of the view that the development of the capacity of national staff will be a key factor in the successful transition to the peacebuilding phase. The Committee recommends that a review of the staffing structure of all sections be undertaken and that the result be reflected in the 2008/09 budget presentation. The review should also focus on aligning the functions and levels of posts of the Mission with the actual responsibilities to be assumed and activities to be accomplished.**

### Recommendations on posts

22. A summary of the posts requested for conversion, reclassification, establishment and abolition is presented in annex II to the present report. It is proposed that a total of 272 posts, including 37 international staff, 196 national staff and 39 United Nations Volunteers, be established to strengthen the Mission's capacity in rule of law matters and in the Political Affairs and Planning Division, the Communications and Public Information Office, the Office of the Police Commissioner, the Joint Mission Analysis Cell, the Joint Operations Centre, the Legal Affairs Section, the Justice Section, the Human Rights Section and all components of the Division of Administration. The proposed new posts entail

additional requirements of $5.3 million for international staff, $9.1 million for national staff and $4.2 million for United Nations Volunteers.

23. **The Advisory Committee recommends acceptance of the proposals for abolishing, reclassifying and redeploying posts for the reasons outlined in the report of the Secretary-General. Hence, the comments in the succeeding paragraphs relate to new posts and positions.**

**Executive direction and management**

*Office of the Principal Deputy Special Representative of the Secretary-General: 1 D-2*

24. The Advisory Committee is aware that MINUSTAH is to provide support for the Haitian authorities' efforts to reform key elements of the justice and corrections sectors, in close synchronization with the plan for the reform and restructuring of the Haitian National Police, as highlighted in Security Council resolution 1743 (2007). The key function of the Coordinator of the Working Group on the Rule of Law is liaison with the Government of Haiti and coordination of activities within the Mission, in particular inputs from the Legal Affairs Office, the Human Rights Section, the Justice Section and the Office of the Police Commissioner. Substantive issues are normally overseen by the Office of the Deputy Special Representative in the peacekeeping missions. In complex missions such as MINUSTAH, the United Nations Organization Mission in the Democratic Republic of the Congo (MONUC), and the United Nations Mission in the Sudan, as well as in the United Nations Integrated Mission in Timor-Leste, the Police Commissioner is at the D-2 level; the head of the Political Affairs and Planning Division is a D-1 (except in MONUC, where the post is at the D-2 level); the head of the Legal Affairs Office is a P-5; and the head of the Human Rights Section is a D-1. MINUSTAH has a separate Justice Section, the head of which is at the D-1 level. **While under the circumstances, the Committee does not recommend the establishment of a D-2 post in the Office of the Principal Deputy Special Representative, it does recognize the complexity of the justice and corrections sector reform, as underlined in Security Council resolution 1743 (2007). The Committee therefore recommends the approval of a D-1 post for the proposed function and recommends its placement in the Office of the Special Representative of the Secretary-General in order to ensure a Mission-wide approach.**

**Component 1: democratic development and consolidation of State authority**

*Political Affairs and Planning Division: 2 P-5 and 1 national General Service*

25. There are 18 posts in the Political Affairs and Planning Division. Two new P-5 posts are proposed for Senior Advisers to the President of Haiti. One is to provide expert advice for the development of policy options and the second is to support the President's advisers in formulating a comprehensive external relations strategy vis-à-vis key donor Governments and multilateral agencies. The Advisory Committee notes that the Mission continues to contribute to capacity- and institution-building at all levels. The proposal is to expand such support to strengthen State institutions. **The Committee therefore would support the establishment of two P-5 posts in the Mission to provide advice to the Office of the President of Haiti. The Committee also recommends approval of the requested national General Service post for a Driver/Clerk.**

*Civil Affairs Section: 12 National Officers and 1 United Nations Volunteer*

*Communications and Public Information Office: 1 Field Service, 2 National Officers and 11 national General Service*

*Electoral assistance Section: 7 National Officer posts in lieu of United Nations Volunteers*

26. **The Advisory Committee recommends acceptance of the proposal to create 13 posts (12 National Officers and 1 United Nations Volunteer) in the Civil Affairs Section and 7 National Officer posts in lieu of United Nations Volunteers in the Electoral Assistance Section, as MINUSTAH will shortly enter into a new maintenance phase. The Committee also recommends acceptance of the proposal to create 14 posts in the Communications and Public Information Office in view of the expansion of its workload.**

**Component 2: security, public order and development of the rule of law**

*Office of the Police Commissioner: 1 D-1, 1 Field Service, 20 national General Service and 22 United Nations Volunteers*

27. **The Advisory Committee recommends the creation of 24 posts (1 D-1, 1 Field Service and 22 United Nations Volunteers) in the Office of the Police Commissioner, as the Mission will be stepping up its activities in assisting the Government to pursue the reform of the Haitian National Police and the judiciary and in supporting the Government in extending its authority. Regarding the 20 proposed new national General Service/National Officer posts, the Committee is of the view that the existing vacancies for national staff should be filled before the number of posts in the staffing table is increased.**

*Joint Mission Analysis Cell: 1 United Nations Volunteer*

*Joint Operations Centre: 1 P-5 and 4 P-3*

*Justice Section: 2 P-5, 3 P-3 and 2 United Nations Volunteers*

28. **The Advisory Committee recommends acceptance of the proposals to establish these posts.**

**Component 3: human rights**

*Human Rights Section: 1 P-4, 1 P-3 and 1 United Nations Volunteer*

*Gender Unit: 1 United Nations Volunteer*

29. **The Advisory Committee recommends acceptance of these posts.**

**Component 4: humanitarian and development coordination**

*Humanitarian and Development Coordination Section: 7 National Officers and 2 national General Service*

30. **The Advisory Committee recommends acceptance of these posts for the reasons given in the report of the Secretary-General.**

**Component 5: support**

*Security Section: 1 P-4, 1 P-2, 2 Field Service and 50 national General Service*

31. Under the Security Section, the proposed budget includes the creation of 54 posts (1 P-4, 1 P-2, 2 Field Service and 50 national General Service). **The Advisory Committee is of the view that the four international posts could be filled utilizing existing vacancies.** As regards the 50 new national General Service posts, the Committee was informed that United Nations security officers were responsible for providing secure access to United Nations facilities, while commercial armed guards were responsible for protecting and guarding United Nations facilities. Although the monthly cost for employing security officers as national staff ($714 per person) is higher than the cost of hiring outsourced commercial guards ($600 per regular guard and $700 per supervisor), the advantages are that they are reliable, trainable and accountable to the Organization. **The Committee recommends acceptance of the proposal for 50 additional national General Service posts. The Committee notes that the United Nations security officers and the commercial armed guards will be working together at sites where there are United Nations assets and facilities and trusts that the difference in pay will not lead to unforeseen problems. The Committee expects a commensurate reduction of any provision made under operational costs for general temporary assistance, and trusts that requests for the large-scale conversion of individual contractors to assignments of limited duration will be avoided.**

*Office of Chief Administrative Officer: 1 Field Service, 1 national General Service and 1 United Nations Volunteer*

32. The Office has 21 posts, including 8 Professional, 7 Field Service and 6 national General Service staff. **In the view of the Advisory Committee, the functions of the new Field Service post could be carried out by existing staff. The Committee does not object to the creation of posts for one national staff member and one United Nations Volunteer.**

*Office of the Chief, Administrative Services: 1 United Nations Volunteer*

33. The Office has 19 posts, including 4 Professional, 3 Field Service, 2 National Officer and 4 national General Service posts and 6 United Nations Volunteers. **The Advisory Committee is of the view that the functions of the requested additional United Nations Volunteer could be carried out by existing staff.**

*Finance Section: 1 P-3, 1 Field Service and 3 national General Service*

34. **The Advisory Committee recommends approval of all the additional posts requested in view of the increased workload.**

*Personnel Section: 4 Field Service*

35. **The Advisory Committee recommends approval of 3 Field Service staff as Human Resources Assistants. The continuation of these Field Service posts should be rejustified in the 2008/09 budget.**

*Procurement Section: 2 Field Service*

36. **The Advisory Committee recommends the establishment of two Field Service posts for the reasons given in the report of the Secretary-General.**

*General Services Section: 2 Field Service, 5 national General Service and 2 United Nations Volunteers*

37. The Section has 408 posts, including 8 Professional, 9 Field Service, 382 national staff, 7 United Nations Volunteers and 2 temporary posts (1 Field Service and 1 National Officer). **In the view of the Advisory Committee, the functions of the proposed additional staff could be carried out by existing staff.**

*Medical Section: 2 national General Service and 6 United Nations Volunteers*

38. **The Advisory Committee recommends the approval of two national General Service posts and six United Nations Volunteers for the reasons set out in the report of the Secretary-General.**

*Movement Control Section: 2 Field Service, 22 national General Service and 2 United Nations Volunteers*

39. In this section, 22 individual contractor positions are proposed to be converted to 22 new national General Service posts. Two new Field Service and two United Nations Volunteer posts are also proposed. **The Advisory Committee agrees with the proposed conversions and the establishment of two Field Service posts and two United Nations Volunteers for the reasons given in the report. The Committee trusts that operational costs have been appropriately reduced.**

*Engineering Section: 2 Field Service and 5 United Nations Volunteers*

*Communications and Information Technology Section: 4 Field Service, 21 national General Service and 13 United Nations Volunteers*

40. **The Advisory Committee recommends the approval of the proposed new posts for the Engineering Section and the Communications and Information Technology Section for the reasons given in the report of the Secretary-General.**

*Transport Section: 1 Field Service and 29 national General Service*

41. **The Advisory Committee recommends acceptance of 1 Field Service post and approval of the proposed conversion of 29 individual contractors to national General Service staff (22 vehicle mechanics and 7 drivers). The Committee trusts that operational costs have been reduced appropriately.**

## 3. Operational costs

(United States dollars)

| *Expenditure 2005/06* | *Apportioned 2006/07* | *Projected expenditure 2006/07* | *Proposed 2007/08* | *Variance* |
|---|---|---|---|---|
| 151 130 200 | 123 202 300 | 124 842 300 | 163 675 600 | 40 473 300 |

42. The estimated operational requirements for the period from 1 July 2007 to 30 June 2008 represent an increase of $40,473,300, or 32.9 per cent, over the previous period. The major factors contributing to the increase relate to official travel (75.2 per cent), facilities and infrastructure (64.4 per cent), communications (38.3 per cent) and information technology (48.6 per cent). A detailed breakdown of the Mission's operational costs can be found in section III of the budget document.

**Official travel**

(United States dollars)

| | *2005/06* | *2006/07* | *2007/08*[a] |
|---|---|---|---|
| Apportionment | 1 290 000 | 969 500 | 1 698 600 |
| Expenditure | 1 808 000 | 1 173 000[b] | Not available |
| Excess expenditure | 518 000 | 203 500[b] | Not available |

[a] Proposed.
[b] Projected.

43. During the period 2005/06, the Mission overspent the travel provision by $518,000 (40.2 per cent), with unplanned travel accounting for 73 per cent of all travel. In the context of the 2006/07 budget proposal, the Advisory Committee was assured that the Mission had instituted strict travel expenditure controls. The Committee notes, however, that expenditure for the period 2006/07 is projected at $1,173,000, or 21 per cent over the budgeted amount of $969,500, owing to unplanned travel.

44. Upon enquiry, the Advisory Committee was informed that a number of mechanisms had been put in place, such as e-MOP (electronic movement of personnel forms) and a requirement for special approval by the Chief Administrative Officer of any travel beyond three days. Furthermore, it was informed that the overexpenditure was primarily attributable to within-Mission travel. During 2006/07, the Mission spent nearly $490,000 for within-Mission travel, which exceeded the budgetary provision by $350,700, and $153,317 for outside-Mission travel, compared to $266,400 budgeted, as at 26 April 2007. The overexpenditures resulted from the postponement of elections and additional travel by senior personnel for police matters, mission planning and consultations with and provision of support to local authorities.

45. The proposed budget for the period 2007/08 amounts to $1,698,600, comprising $608,000 for within-Mission travel, $502,800 for outside-Mission travel and $587,200 for training-related travel. **Taking into account the past expenditure pattern of the Mission, the Advisory Committee recommends that the apportionment be reduced to $1,175,000, which approximates the estimated expenditure for the period 2006/07 (see also para. 43 above). The Committee will further monitor the expenditure pattern in the context of the 2008/09 budget proposal.**

**Facilities and infrastructure**

(United States dollars)

| | *2005/06* | *2006/07* | *2007/08*[a] |
|---|---|---|---|
| Apportionment | 74 652 100 | 43 087 400 | 70 731 200 |
| Expenditure | 77 537 500 | 57 758 400[b] | Not available |
| Unencumbered balance | 2 885 400 | 14 671 000[b] | Not available |

[a] Proposed.
[b] Projected.

46. The table above shows that the Mission is projecting a sizable increase in expenditure over the budgeted amount for 2006/07. Upon enquiry, the Advisory Committee was informed that the costs of construction, alteration and renovation and maintenance services have increased mainly because of (a) activities related to the reform of the Haitian National Police that entail an increase in the number of co-locations of United Nations police and the Haitian National Police, (b) the provision of hard-walled accommodations for two contingents that are currently in soft-walled accommodations, (c) additional offices to be opened in the regions by the Justice and Civil Affairs Sections, (d) the renovation, alteration and construction of sites in Cité Soleil and Martissant that were taken over by the Mission to improve the security situation in the capital and (e) the requirement of new sites for the Mission after some sites were reclaimed by the local authorities in accordance with the status-of-forces agreement. **The Advisory Committee recommends acceptance of the Secretary-General's proposal.**

**Communications and information technology**

47. The cost estimate for communications for the 2007/08 period amounts to $28,259,800, which is $7,829,800 (38.3 per cent) more than the apportionment of $20,430,000 for the period 2006/07. With respect to information technology, the cost estimate of $6,952,600 for the period 2007/08 is $2,273,700 (48.6 per cent) more than the apportionment of $4,678,900. The Advisory Committee was informed that additional requirements for communications are attributable primarily to the co-location of United Nations police with the Haitian National Police in an additional 98 police stations, for a total of 148 co-location stations. The expansion would require communications services including voice and data services, as well as equipment for digital microwave links. In addition, the higher requirements are due to the outsourcing of radio and communications services to support the 13 communications centres throughout Haiti (as compared with four centres in 2006/07), which operate on a 24/7 basis and conform with minimum operating security standards. With regard to information technology, the increased requirements relate to proposed increases in civilian staff, United Nations police, the aforementioned increased co-location of United Nations police with the Haitian National Police, centralized information technology services and additional internationally contracted information technology personnel.

**Conduct and Discipline Team**

48. The conduct and discipline team comprises seven temporary positions (1 P-5, 2 P-4, 1 P-2, 1 Field Service, 1 National Officer and 1 national General Service staff member) and organizes training for all MINUSTAH personnel. Upon enquiry, the Advisory Committee was informed that in 2006 there were 23 cases involving allegations of sexual exploitation and abuse. Those are considered category I cases of serious misconduct. Six cases have been investigated by the Office of Internal Oversight Services and reports on them were pending at the time of the Committee's meeting; five cases are currently under investigation; four were dropped due to lack of evidence; and the remainder were referred to the Government of the relevant troop-contributing country since they involved military personnel.

**Quick-impact projects**

49. The proposed budget for 2007/08 includes a provision of $2 million for quick-impact projects. The Advisory Committee notes that this is the fourth year for which the Mission has requested such funds. Out of an expenditure of $1,868,938 in the current period (as at 31 March 2007), the Mission, international and national non-governmental organizations and civil society have spent $262,128, $101,400 and $236,123, respectively, for projects in the water, sanitation, education and health sectors, as well as infrastructure development. An amount of $1,269,286 was spent by the Government of Haiti as the Mission's implementing partner. Most of the projects are related to providing funds to the Government for projects such as the rehabilitation or reconstruction of police stations, prisons, municipalities, hospitals, schools and room for tribunals, as well as seminars and acquisition of equipment.

50. Three projects relating to the 2004/05 financial period were not completed. The projects were supposed to be implemented by the Institut du bien-être social et de recherche (IBESR), a local non-governmental organization, a Government hospital in Port-au-Prince and the Haitian National Police. Those implementing partners were requested to return the unspent funds by official letters issued in December 2006 and January and February 2007. IBESR formally responded by promising to refund the unspent amount. Responses from the Government hospital and the Haitian National Police are awaited.

51. **The Advisory Committee is of the opinion that an analysis of results achieved through such projects should be provided in the context of future budgets.**

**4. Other matters**

**Disarmament, demobilization and reintegration**

52. The Advisory Committee was informed that the planning assumptions for the disarmament, demobilization and reintegration programme turned out to be incorrect, as the original concept envisaged a traditional large-scale disarmament of as many as 6,000 former members of the Haitian military forces. The Mission had encountered security problems that were associated with highly decentralized urban gangs, most members of which had criminal rather than political motivations. The Mission is now focusing on developing a two-pronged programme that is complementary to its security operations on the one hand and emphasizes a

community-based approach to reducing violence on the other. The establishment of the National Commission for Disarmament, Demobilization and Reintegration by the elected Government in August 2006 was also a major step forward. The Mission supported the United Nations Development Programme in establishing seven community committees for the prevention of violence and for development in the most volatile neighbourhoods. The robust security operations have resulted in the voluntary surrender of 104 weapons to the National Commission for Disarmament, Demobilization and Reintegration and the identification of 232 candidates for the disarmament, demobilization and reintegration programme in the last three months.

# V. Conclusion

53. The action to be taken by the General Assembly in connection with the financing of MINUSTAH for the period from 1 July 2006 to 30 June 2007 is indicated in paragraph 26 of the performance report on the budget for the Mission (A/61/741). **The Advisory Committee recommends that the unencumbered balance of $36,851,900, as well as other income and adjustments in the amount of $14,506,000 for the period ended 30 June 2006, be credited to Member States in a manner to be determined by the General Assembly.**

54. The action to be taken by the General Assembly in connection with the financing of MINUSTAH for the period from 1 July 2007 to 30 June 2008 is indicated in paragraph 96 of the proposed budget (A/61/869). Taking into account its recommendations in paragraphs 24 and 45 above, **the Advisory Committee recommends that the estimated budget requirements be reduced from $537,664,300 to $535,372,800 for the maintenance of MINUSTAH for the 12-month period from 1 July 2007 to 30 June 2008.**

*Documentation*

- Performance report on the budget for the United Nations Stabilization Mission in Haiti for the period from 1 July 2005 to 30 June 2006: report of the Secretary-General (A/61/741)
- Budget for the United Nations Stabilization Mission in Haiti for the period from 1 July 2007 to 30 June 2008: report of the Secretary-General (A/61/869)
- Report of the Advisory Committee on Administrative and Budgetary Questions on the proposed budget for the United Nations Stabilization Mission in Haiti for the period from 1 July 2006 to 30 June 2007 (A/60/869)
- Report of the Secretary-General on the United Nations Stabilization Mission in Haiti (S/2006/1003)
- General Assembly resolutions 60/18 B and 61/264
- Security Council resolutions 1542 (2004), 1608 (2005), 1658 (2006), 1702 (2006) and 1743 (2007)

- Overview of the financing of the United Nations peacekeeping operations: budget performance for the period from 1 July 2005 to 30 June 2006 and budget for the period from 1 July 2007 to 30 June 2008 (A/61/786)
- Financial report and audited financial statements for the 12-month period from 1 July 2005 to 30 June 2006 and report of the Board of Auditors on United Nations peacekeeping operations (A/61/5 (vol. II)).

# Annex I

## Current and projected expenditures of the United Nations Stabilization Mission in Haiti for the period from 1 July 2006 to 30 June 2007

(Thousands of United States dollars)

| | *1 July 2006-30 April 2007* | | | *Projected 1 May-30 June 2007* | | | | |
|---|---|---|---|---|---|---|---|---|
| | *Apportionment* | *Total expenditure* | *Unencumbered balance* | *Expenditure* | *Total expenditure including projected* | *Estimated unencumbered balance as at 30 June 2007* | *Variance (percentage)* | |
| | *(1)* | *(2)* | *(3) = (1) - (2)* | *(4)* | *(5) = (2) + (4)* | *(6) = (1) - (5)* | *(7) = (6) ÷ (1)* | *Reasons for variance* |
| **Military and police personnel** | | | | | | | | |
| Military observers | — | — | — | — | — | — | — | |
| Military contingents | 191 211.9 | 163 192.3 | 28 019.6 | 7 533.2 | 170 725.5 | 20 486.4 | 10.7 | The lower requirements result primarily from the lower average military strength (6,720, compared with 7,350 budgeted) owing to a reduction in the authorized military strength from 7,500 to 7,200 personnel, pursuant to Security Council resolution 1702 (2006) |
| United Nations police | 47 836.6 | 36 408.1 | 11 428.5 | 7 985.5 | 44 393.6 | 3 443.0 | 7.2 | The lower requirements result primarily from the lower average police strength (727 compared with 807 budgeted) |
| Formed police units | 26 967.7 | 26 554.3 | 413.5 | 1 160.0 | 27 660.3 | (692.5) | (2.6) | The higher requirements result primarily from the full deployment of formed police units, compared with 2 per cent delayed deployment applied in the budget |
| **Subtotal** | **266 016.2** | **226 154.7** | **39 861.5** | **16 624.7** | **242 779.4** | **23 236.8** | **8.7** | |
| **Civilian personnel** | | | | | | | | |
| International staff | 77 031.1 | 57 091.5 | 19 939.6 | 11 646.0 | 68 737.5 | 8 293.6 | 10.8 | The lower requirements are attributable mainly to a vacancy rate of 14 per cent, compared with 10 per cent budgeted |

| | 1 July 2006-30 April 2007 | | | Projected 1 May-30 June 2007 | | | | |
|---|---|---|---|---|---|---|---|---|
| | *Apportionment* | *Total expenditure* | *Unencumbered balance* | *Expenditure* | *Total expenditure including projected* | *Estimated unencumbered balance as at 30 June 2007* | *Variance (percentage)* | |
| | *(1)* | *(2)* | *(3) = (1) - (2)* | *(4)* | *(5) = (2) + (4)* | *(6) = (1) - (5)* | *(7) = (6) ÷ (1)* | *Reasons for variance* |
| National staff | 15 500.2 | 11 714.8 | 3 785.5 | 2 394.0 | 14 108.8 | 1 391.5 | 9.0 | The lower requirements are attributable mainly to a vacancy rate of 47 per cent for National Officers, compared with 25 per cent budgeted, and 33 per cent for national General Service, compared with 5 per cent budgeted |
| United Nations Volunteers | 7 457.3 | 7 083.4 | 373.9 | 1 258.8 | 8 342.2 | (884.9) | (11.9) | The higher requirements are attributable to the increase in pre-departure expenses, settling-in-grant, resettlement allowance and medical insurance |
| **Subtotal** | **99 988.6** | **75 889.6** | **24 099.0** | **15 298.8** | **91 188.4** | **8 800.2** | **8.8** | |
| **Operational costs** | | | | | | | | |
| General temporary assistance | 2 493.6 | 2 210.9 | 282.7 | 282.0 | 2 492.9 | 0.7 | 0.0 | |
| Government-provided personnel | — | — | — | — | — | — | — | |
| Civilian electoral observers | — | — | — | — | — | — | — | |
| Consultants | 84.5 | 84.4 | 0.1 | — | 84.4 | 0.1 | 0.1 | |
| Official travel | 969.5 | 965.4 | 4.1 | 207.6 | 1 173.0 | (203.5) | (21.0) | The higher requirements are due mainly to the increase in within-Mission travel undertaken by United Nations police for the vetting of Haitian National Police |
| Facilities and infrastructure | 43 087.4 | 52 875.4 | (9 788.0) | 4 883.0 | 57 758.4 | (14 671.0) | (34.0) | The increased requirements are due mainly to the high costs of waste disposal services, construction services and electricity |

| | 1 July 2006-30 April 2007 | | | Projected 1 May-30 June 2007 | | | | |
|---|---|---|---|---|---|---|---|---|
| | *Apportionment* | *Total expenditure* | *Unencumbered balance* | *Expenditure* | *Total expenditure including projected* | *Estimated unencumbered balance as at 30 June 2007* | *Variance (percentage)* | |
| | *(1)* | *(2)* | *(3) = (1) - (2)* | *(4)* | *(5) = (2) + (4)* | *(6) = (1) - (5)* | *(7) = (6) ÷ (1)* | *Reasons for variance* |
| Ground transportation | 8 563.2 | 7 418.9 | 1 144.3 | 1 153.0 | 8 571.9 | (8.7) | (0.1) | The higher requirements are attributable mainly to the acquisition of the aviation firefighting trucks that were not budgeted, resulting from aviation requirements to enable MINUSTAH airfields to remain operational and comply with international regulations |
| Air transportation | 25 378.4 | 13 047.3 | 12 331.1 | 4 479.0 | 17 526.3 | 7 852.1 | 30.9 | The unspent balance is attributable mainly to the delay in the implementation of rescue fire services owing to technical problems |
| Naval transportation | 192.0 | 109.0 | 82.1 | 55.0 | 164.9 | 27.1 | 14.1 | The unspent balance is attributable mainly to the lower-than-anticipated volume of coastal freight shipments |
| Communications | 20 430.0 | 13 135.5 | 7 294.5 | 6 080.7 | 19 216.2 | 1 213.8 | 5.9 | The lower requirements are due mainly to the lower reimbursement for self-sustainment resulting from the lower average military strength |
| Information technology | 4 678.9 | 4 242.2 | 436.7 | 733.8 | 4 976.0 | (297.1) | (6.3) | The increased requirements are attributable mainly to the need to employ additional individual international contractors |
| Medical | 6 079.4 | 4 980.4 | 1 099.0 | — | 4 980.4 | 1 099.0 | 18.1 | The reduced requirements are attributable mainly to the lower costs of medical equipment and lower reimbursement for self-sustainment resulting from the lower average military strength |

| | 1 July 2006-30 April 2007 | | | Projected 1 May-30 June 2007 | | | | |
|---|---|---|---|---|---|---|---|---|
| | *Apportionment* | *Total expenditure* | *Unencumbered balance* | *Expenditure* | *Total expenditure including projected* | *Estimated unencumbered balance as at 30 June 2007* | *Variance (percentage)* | |
| | *(1)* | *(2)* | *(3) = (1) - (2)* | *(4)* | *(5) = (2) + (4)* | *(6) = (1) - (5)* | *(7) = (6) ÷ (1)* | *Reasons for variance* |
| Special equipment | 3 788.3 | 3 144.4 | 643.9 | — | 3 144.4 | 643.9 | 17.0 | The lower requirements are due mainly to the lower reimbursement for self-sustainment resulting from the lower average military strength |
| Other supplies, services and equipment | 5 427.1 | 2 420.5 | 3 006.6 | 303.0 | 2 723.5 | 2 703.6 | 49.8 | The unutilized balance is attributable mainly to the lower number of gang members resulting from the reformulation of the disarmament, demobilization and reintegration programme to address the current situation by targeting community violence reduction instead of focusing on the number of gang members disarmed |
| Quick-impact projects | 2 030.0 | 1 889.0 | 141.0 | 141.0 | 2 030.0 | (0.0) | (0.0) | |
| **Subtotal** | **123 202.3** | **106 524.2** | **16 678.1** | **18 318.1** | **124 842.3** | **(1 640.0)** | **(1.3)** | |
| **Gross requirements** | **489 207.1** | **408 568.4** | **80 638.7** | **50 241.6** | **458 810.0** | **30 397.1** | **6.2** | |
| Staff assessment income | 9 398.7 | 6 553.1 | 2 845.6 | 1 183.2 | 7 736.3 | 1 662.4 | 17.7 | |
| **Net requirements** | **479 808.4** | **402 015.4** | **77 793.0** | **49 058.4** | **451 073.8** | **28 734.6** | **6.0** | |
| Voluntary contributions in kind (budgeted) | — | — | — | — | — | — | — | |
| **Total requirements** | **489 207.1** | **408 568.4** | **80 638.7** | **50 241.6** | **458 810.0** | **30 397.1** | **6.2** | |

# Annex II

## Summary of proposed changes in staffing for the United Nations Stabilization Mission in Haiti for the period from 1 July 2007 to 30 June 2008

| *Office/section/unit* | *Number* | *Level* | *Description* | *Remarks* |
|---|---|---|---|---|
| **Executive direction and management** | | | | |
| Office of the Special Representative of the Secretary-General | -1 | P-5 | Senior Political Affairs Officer | Post redeployed to Joint Mission Analysis Cell |
| | -1 | P-3 | Analyst | Post redeployed to Joint Mission Analysis Cell |
| | -1 | GS-OL | Administrative Assistant | Post redeployed to Joint Mission Analysis Cell |
| | -3 | | | |
| Office of the Principal Deputy Special Representative of the Secretary-General | +1 | D-2 | Coordinator of the Working Group on the Rule of Law | New |
| **Component 1: democratic development and consolidation of State authority** | | | | |
| Political Affairs and Planning Division | +2 | P-5 | 1 Special Assistant to the President, 1 Adviser to the President on security issues | New |
| | -1 | NO | Interpreter/Translator | Post abolished to create NGS post |
| | +1 | NGS | Driver/Clerk | New |
| | +2 | | | |
| Civil Affairs Section | -2 | FS | Administrative Assistants | Post abolished to create 2 posts for NO posts |
| | +12 | NO | Civil Affairs National Officers | New |
| | +1 | UNV | Customs Specialist | New |
| | +11 | | | |
| Communications and Public Information Section | -1 | P-3 | Public Information Officer | Post abolished to create FS post |
| | +1 | FS | Communications Engineer | New |
| | +2 | NO | Radio Editors | New |
| | +11 | NGS | 5 Radio Journalists, 2 Radio Researchers, 2 Radio Technicians, 2 Communications Technicians for multimedia centres | New |
| | +13 | | | |

| *Office/section/unit* | *Number* | *Level* | *Description* | *Remarks* |
|---|---|---|---|---|
| Electoral Assistance Section | -1 | P-4 | Electoral Operations Officer | Abolished |
| | -2 | P-3 | 1 Finance Officer and 1 Regional Coordinator | Abolished |
| | +7 | NO | District Coordinators | New in lieu of UNVs |
| | -13 | UNV | District Coordinators | Abolished |
| | -9 | | | |
| **Component 2: security, public order and development of the rule of law** | | | | |
| Office of the Police Commissioner | +1 | D-1 | Deputy Police Commissioner for Development | New |
| | +1 | FS | Administrative Assistant (for the new Deputy) | New |
| | +20 | NGS | 10 Data Entry Clerks, 5 Communication Technicians, 4 Administrative Assistants, 1 Driver | New |
| | +22 | UNV | 1 Project Planning, 1 Project Coordination, 1 Architect, 1 Construction Engineer, 1 Maintenance Engineer, 2 Logistics Officers, 2 Procurement Officers, 2 Supply Officers, 1 Radio Engineer, 1 Telephonic Engineer, 1 Microwave Engineer, 1 Budget Officer, 1 Accounting Officer, 1 Finance Officer, 1 Internal Auditor, 2 Personnel Managers, 2 Legal Officers | New |
| | +44 | | | |
| Joint Mission Analysis Cell | +1 | P-5 | Senior Political Affairs Officer | Post redeployed from Office of the Special Representative of the Secretary-General |
| | +1 | P-3 | Analyst | Post redeployed from Office of the Special Representative of the Secretary-General |
| | +1 | GS-OL | Administrative Assistant | Post redeployed from Office of the Special Representative of the Secretary-General |
| | +1 | UNV | Information Officer | New |
| | +4 | | | |

| *Office/section/unit* | *Number* | *Level* | *Description* | *Remarks* |
|---|---|---|---|---|
| Joint Operations Centre | +1 | P-5 | Senior Operations Officer | New |
| | +4 | P-3 | Operations Officer | New |
| | +5 | | | |
| Legal Affairs Section | +1 | P-3 | Legal Affairs Officer | Reclassified from NO |
| | -1 | NO | Legal Affairs Officer | Reclassified to P-3 |
| | — | | | |
| Justice Section | +2 | P-5 | Senior Judicial Affairs Officers | New |
| | +3 | P-3 | Judicial Affairs Officers | New |
| | +2 | UNV | 2 Judicial Affairs Officers for field offices in Saint Marc and Miragoane | New |
| | +7 | | | |
| Disarmament, Demobilization and Reintegration Section | -1 | P-3 | Disarmament, Demobilization and Reintegration Officer | Reclassified to P-2 |
| | +1 | P-2 | Operations Officer | Reclassified from P-3 |
| | +6 | NO | Community Development Officers | New in lieu of UNVs |
| | -6 | UNV | Disarmament, Demobilization and Reintegration Officers | Abolished |
| | — | | | |
| **Component 3: human rights** | | | | |
| Human Rights Section | +1 | P-4 | Human Rights Investigator | New |
| | +1 | P-3 | Human Rights Investigator | New |
| | +1 | UNV | Human Rights Investigator | New |
| | +3 | | | |
| Gender Unit | +1 | UNV | Capacity-building Officer | New |
| **Component 4: humanitarian and development coordination** | | | | |
| Humanitarian and Development Coordination Section | +7 | NO | Humanitarian and Development Coordination Officers | New |
| | +2 | NGS | Administrative Assistants | New |
| | +9 | | | |
| **Component 5: support** | | | | |
| Security Section | +1 | P-4 | Security Officer | New |
| | +1 | P-2 | Security Officer | New |
| | +2 | FS | Security Officer | New |
| | +50 | NGS | Security Guards | New |
| | +54 | | | |

| *Office/section/unit* | *Number* | *Level* | *Description* | *Remarks* |
|---|---|---|---|---|
| **Office of the Chief Administrative Officer** | +1 | FS | Budget Assistant | New |
| | +1 | NGS | Administrative Assistant | New |
| | +1 | UNV | Legal Assistant | New |
| | +3 | | | |
| **Office of the Chief of Administrative Services** | +1 | UNV | Staff Counsellor | New |
| **Finance Section** | +1 | P-3 | Chief Cashier | New |
| | +1 | FS | Finance Assistant | New |
| | +3 | NGS | Finance Assistants | New |
| | +5 | | | |
| **Personnel Section** | +4 | FS | Human Resources Assistants | New |
| **Procurement Section** | -2 | P-3 | Procurement Officer | Reclassified to FS |
| | +4 | FS | Procurement Assistants | 2 new, 2 reclassified from P-3 |
| | +2 | | | |
| **General Services Section** | +2 | FS | 1 Property Disposal Assistant, 1 Language Support Supervisor | New |
| | +5 | NGS | 1 Property Disposal Assistant, 3 Regional Collection Yard Assistants, 1 Records and Archives Assistant | New |
| | +2 | UNV | 1 Property Disposal Assistant, 1 Information Management Assistant | New |
| | +9 | | | |
| **Medical Section** | -3 | NO | Medical Doctors | Abolished |
| | +2 | NGS | 1 Nurse, 1 Ambulance Driver | New |
| | +6 | UNV | 3 Nurses, 3 Medical Doctors | 3 new, 3 in lieu of NOs |
| | +5 | | | |
| **Movement Control Section** | +2 | FS | Movement Control Assistants | New |
| | +22 | NGS | Regional Passenger and Cargo Handlers | New |
| | +2 | UNV | Movement Control Assistants | New |
| | +26 | | | |
| **Engineering Section** | +2 | FS | Regional Engineering Coordinators | New |
| | +5 | UNV | Engineering Assistants | New |
| | +7 | | | |

| *Office/section/unit* | *Number* | *Level* | *Description* | *Remarks* |
|---|---|---|---|---|
| Communications and Information Technology Section | +4 | FS | 1 IT Security Officer, 1 Public Information Media Outreach Supervisor, 1 Budget Assistant/Requisitioner, 1 Warehouse Assistant | New |
| | +21 | NGS | 17 Telecommunication Technicians (6 Cabling Technicians, 2 Rigging Technicians, 2 Satellite/ Videoconferencing Technicians, 2 Radio Communications Technicians, 1 Telephone Technician and 4 Microwave Technicians), 4 Public Information Media Outreach Technicians | New |
| | +13 | UNV | 9 Telecommunication Technicians (2 Cabling Technicians, 1 Rigging Technician, 3 Satellite/ Videoconferencing Technicians, 1 Radio Communications Technician, 2 Microwave Technicians), 1 IT Security Assistant, 2 Public Information Media Outreach Technicians, 1 Warehouse Assistant | New |
| | +38 | | | |
| Transport Section | +1 | FS | Budget/Asset Control Assistant | New |
| | +29 | NGS | 22 Vehicle Mechanics, 7 Drivers | New |
| | +30 | | | |
| **Subtotals** | +37 | International | | |
| | +196 | National | | |
| | +39 | UNV | | |
| **Total** | +272 | posts | | |

*Abbreviations*
FS — Field Service
IT — information technology
NO — National Officer
NGS — National General Service
OL — Other level
UNV — United Nations Volunteer